

## ON SOME SPACES OF NONLINEAR MAPS

YOUNGOH YANG.

## 1. Introduction.

In [3] Furi and Vignoli introduced a class of all quasi-bounded (nonlinear) maps on a Banach space  $X$  and defined a spectrum for this class. They gave some of the basic properties for such spectrum, and extended surjectivity results previously obtained by Granas and Kranosel'skij.

Canavati defined a numerical range for a broader class of all numerically bounded maps on a Banach space  $X$  and studied it in a more systematic way [2]. Kim and Yang defined a new class of all numerically bounded maps on a Hilbert  $C^*$ -module and studied their properties [5].

In this paper, we shall define some classes of  $n$ -tuples of continuous maps on a Banach space  $X$  and show that these are Banach spaces. For reasons that are going to be apparent in later sections, we found more convenient to deal with maps of the form  $F : X \times X^* \rightarrow X$ , instead of maps  $f : X \rightarrow X$ , the later being a particular case of the former. Here  $X^*$  denotes the dual space of  $X$ . In particular if  $n = 1$ , our spaces coincide with those of Canavati. That is, our concepts generalize those of Canavati.

Throughout this paper, let  $X$  be a Banach space over  $\mathbf{K}(\mathbf{R}$  or  $\mathbf{C})$ ,  $X^*$  its dual space, and denote by  $\langle x, x^* \rangle$  ( $x \in X, x^* \in X^*$ ) the duality map between  $X$  and  $X^*$ . If  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbf{K}^n$ , we set  $|\lambda| = \left( \sum_{i=1}^n |\lambda_i|^2 \right)^{\frac{1}{2}}$ . For an  $n$ -tuple  $\mathbf{F} = (F_1, \dots, F_n)$  of maps and  $x \in X$ ,  $\mathbf{F}(x)$  means  $\mathbf{F}(x) = (F_1(x), \dots, F_n(x))$ , and  $\langle \mathbf{F}(x), x^* \rangle$  denotes  $(\langle F_1(x), x^* \rangle, \dots, \langle F_n(x), x^* \rangle)$ .

2. Some Spaces of  $n$ -tuples of Nonlinear Maps.

DEFINITION 2.1. Let  $X$  be a Banach space over the field  $\mathbf{K}$ . (a)  $\mathbf{B}^n(X)$  is the vector space of all  $n$ -tuples  $\mathbf{f} = (f_1, \dots, f_n)$  of continuous maps  $f_i : X \rightarrow X$  such that

$$\|\mathbf{f}(x)\| = \left( \sum_{j=1}^n \|f_j(x)\|^2 \right)^{\frac{1}{2}} \leq M\|x\|$$

for some  $M \geq 0$  and all  $x \in X$ . We define the joint norm  $\|\mathbf{f}\|$  of  $\mathbf{f} = (f_1, \dots, f_n)$  as the smallest  $M \geq 0$  such that this inequality holds for all  $x \in X$ . An element of  $\mathbf{B}^n(X)$  is called a jointly bounded  $n$ -tuple on  $X$ . (b)  $\mathbf{Q}^n(X)$  is the vector space of all jointly quasibounded  $n$ -tuples on  $X$ . That is, the space of all  $n$ -tuples  $\mathbf{f} = (f_1, \dots, f_n)$  of continuous maps  $f_i : X \rightarrow X$  such that there exist  $A, B \geq 0$  satisfying

$$\|\mathbf{f}(x)\| = \left( \sum_{j=1}^n \|f_j(x)\|^2 \right)^{\frac{1}{2}} \leq A + B\|x\|, \quad x \in X. \quad (1)$$

Denote  $|\mathbf{f}|$  the joint quasinorm of  $\mathbf{f} = (f_1, \dots, f_n)$  to be the infimum of all  $B \geq 0$  for which (1) holds for some  $A \geq 0$ , i.e.,

$$|\mathbf{f}| = \limsup_{\|x\| \rightarrow \infty} \frac{\|\mathbf{f}(x)\|}{\|x\|}$$

In particular, if  $n = 1$ , then  $\mathbf{B}^n(X)$  is the vector space of all bounded maps on  $X$  and  $\mathbf{Q}^n(X)$  is the vector space of all quasibounded maps on  $X$ . Notice that  $\|\cdot\|$  is a norm on  $\mathbf{B}^n(X)$  and  $|\cdot|$  is a semi-norm on  $\mathbf{Q}^n(X)$ .

The norm  $\times$  weak\* topology in  $X \times X^*$ , is the topology in  $X \times X^*$  given by the norm topology on  $X$  and the weak\* topology on  $X^*[1,2]$ .

We define the following subsets of  $X \times X^*$ ,

$$\Pi_r = \{ (x, x^*) \in X \times X^* : \|x\| = \|x^*\| \geq r, |x|^2 = \langle x, x^* \rangle \}$$

for  $r > 0$ , and

$$\Pi_0 = \bigcup_{r>0} \Pi_r.$$

LEMMA 2.2[2]. Let  $\pi$  denote the natural projection of  $X \times X^*$  onto  $X$ , and let  $E$  be a subset of  $\Pi_r$  that is relatively closed in  $\Pi_r$  with respect to the norm  $\times$  weak\* topology. Then  $\pi(E)$  is a (norm) closed subset of  $X$ .

LEMMA 2.3[2]. Each  $\Pi_r (r > 0)$  and  $\Pi_0$  are connected subsets of  $X \times X^*$  with the norm  $\times$  weak\* topology, unless  $X$  has dimension one over  $\mathbf{R}$ .

From now on we shall assume that  $\Pi_0$  has the norm  $\times$  weak\* topology induced as a subset of  $X \times X^*$ . Also we shall assume that  $X$  does not have dimension one over  $\mathbf{R}$ .

DEFINITION 2.4. Let  $\mathbf{F} = (F_1, \dots, F_n)$  be an  $n$ -tuple of continuous maps from  $\Pi_0$  into  $X$ . We say that  $\mathbf{F}$  is jointly  $*$ -bounded if .

$$\|\mathbf{F}\|_* = \sup_{\Pi_0} \frac{\|\mathbf{F}(x, x^*)\|}{\|x\|} < \infty$$

We denote by  $\mathbf{B}_*^n(X)$  the vector space of all jointly  $*$ -bounded  $n$ -tuples.

Notice that  $\|\cdot\|_*$  is a norm on  $\mathbf{B}_*^n(X)$ . We can consider the vector space  $\mathbf{B}^n(X)$  of all  $n$ -tuples of bounded maps as a vector subspace of  $\mathbf{B}_*^n(X)$  in a natural way, namely ; if  $\mathbf{f} = (f_1, \dots, f_n) \in \mathbf{B}^n(X)$ , the mapping  $\mathbf{F}(x, x^*) = \mathbf{f}(x) = (f_1(x), \dots, f_n(x))$  belongs to  $\mathbf{B}_*^n(X)$  and  $\|\mathbf{f}\| = \|\mathbf{F}\|_*$ .

THEOREM 2.5.  $\mathbf{B}_*^n(X)$  is a Banach space.

*Proof.* This is a standard argument, and so it will be omitted.

DEFINITION 2.6. Let  $\mathbf{F} = (F_1, \dots, F_n)$  be an  $n$ -tuple of continuous maps from  $\Pi_0$  into  $X$ . We say that  $\mathbf{F}$  is jointly  $*$ -quasibounded if

$$|\mathbf{F}|_* = \limsup_{r \rightarrow \infty} \sup_{\Pi_r} \frac{\|\mathbf{F}(x, x^*)\|}{\|x\|} < +\infty.$$

We denote by  $\mathbf{Q}_*^n(X)$ , the vector space of all jointly  $*$ -quasibounded  $n$ -tuples.

Notice that  $|\cdot|_*$  is a seminorm on  $\mathbf{Q}_*^n(X)$ . Obviously one has  $\mathbf{B}_*^n(X) \subseteq \mathbf{Q}_*^n(X)$  and

$$|\mathbf{F}|_* \leq \|\mathbf{F}\|_*.$$

We can consider the vector space  $\mathbf{Q}^n(X)$  as a vector space  $\mathbf{Q}_*^n(X)$  in a natural way, namely ; if  $\mathbf{f} \in \mathbf{Q}^n(X)$ , then the mapping  $\mathbf{F}(x, x^*) = \mathbf{f}(x)$  belongs to  $\mathbf{Q}_*^n(X)$  and  $|\mathbf{f}| = |\mathbf{F}|_*$ .

LEMMA 2.7. For any  $\mathbf{F} \in \mathbf{Q}_*^n(X)$ , there exists a sequence  $\langle \mathbf{F}_m \rangle$  in  $\mathbf{B}_*^n(X)$  such that  $|\mathbf{F}_m - \mathbf{F}|_* = 0$  ( $m = 1, 2, 3, \dots$ ) and

$$\|\mathbf{F}_m\|_* \rightarrow |\mathbf{F}|_* \quad \text{as } m \rightarrow \infty.$$

*Proof.* Let  $\rho^2 = \|x\|^2 + \|x^*\|^2$ , and define

$$\mathbf{F}_m(x, x^*) = \begin{cases} \mathbf{F}(x, x^*) & \text{if } \rho \geq m, \\ \frac{\rho}{m} \mathbf{F}\left(\frac{m}{\rho}x, \frac{m}{\rho}x^*\right) & \text{if } 0 < \rho < m. \end{cases}$$

We have

$$\|\mathbf{F}_m\|_* = \sup_{\Pi_0} \frac{\|\mathbf{F}_m(x, x^*)\|}{\|x\|} = \sup_{\Pi_{m/\sqrt{2}}} \frac{\|\mathbf{F}(x, x^*)\|}{\|x\|}.$$

Therefore  $\mathbf{F}_m \in \mathbf{B}_*^n(X)$  for all  $m$  large enough and

$$\|\mathbf{F}_m\|_* \rightarrow |\mathbf{F}|_* \quad \text{as } m \rightarrow \infty.$$

DEFINITION 2.8. (a) Let  $\mathbf{F}, \mathbf{G} \in \mathbf{Q}_*^n(X)$ . The  $n$ -tuple  $\mathbf{F}$  is said to be jointly  $*$ -asymptotically equivalent to  $\mathbf{G}$  ( $j.*$ - a.e) if  $|\mathbf{F} - \mathbf{G}|_* = 0$ . It is easy to see that this is an equivalence relation. (b)  $\tilde{\mathbf{Q}}_*^n(X)$  is the normed space of all equivalence class of jointly  $*$ -quasibounded  $n$ -tuples, i.e.  $\tilde{\mathbf{Q}}_*^n(X) = \mathbf{Q}_*^n(X)/N^n(|\cdot|_*)$ , where  $\mathbf{F} \in N^n(|\cdot|_*)$  iff  $|\mathbf{F}|_* = 0$ . The norm on  $\tilde{\mathbf{Q}}_*^n(X)$  is the one induced by  $|\cdot|_*$  and will be denoted in the same way.

From Lemma 2.7, we see that the mapping  $\mathbf{B}_*^n(X) \rightarrow \tilde{\mathbf{Q}}_*^n(X)$ ,  $\mathbf{F} \rightarrow \tilde{\mathbf{F}}$  is onto.

Furthermore we have:

THEOREM 2.9.  $\tilde{\mathbf{Q}}_*^n(X)$  is a Banach space.

*Proof.* Let  $\{\tilde{\mathbf{F}}_m = \langle \tilde{F}_m^{(1)}, \dots, \tilde{F}_m^{(n)} \rangle\}$  be any sequence in  $\tilde{\mathbf{Q}}_*^n(X)$  such that  $\sum |\tilde{\mathbf{F}}_m|_*$  converges. We have to show that  $\sum \tilde{\mathbf{F}}_m = (\sum \tilde{F}_m^{(1)}, \dots, \sum \tilde{F}_m^{(n)})$  converges. i.e.  $\sum \tilde{F}_m^{(j)}$  converges for each  $j = 1, \dots, n$ . By Lemma 2.7, for any positive integer  $m$ , we can choose  $\mathbf{G}_m \in \mathbf{B}_*^n(X)$  such that

$$\tilde{\mathbf{G}}_m = \tilde{\mathbf{F}}_m \text{ and } \|\mathbf{G}_m\|_* \leq |\mathbf{F}_m|_* + 2^{-m}.$$

Since  $\mathbf{B}_*^n(X)$  is a Banach space,  $\sum \mathbf{G}_m$  converges to an element  $\mathbf{G} \in \mathbf{B}_*^n(X)$ . From the continuity of the linear projection  $\mathbf{B}_*^n(X) \rightarrow \tilde{\mathbf{Q}}_*^n(X)$ , we obtain  $\sum \tilde{\mathbf{G}}_m = \sum \tilde{\mathbf{F}}_m = \tilde{\mathbf{G}}$ .

DEFINITION 2.10. Let  $\mathbf{F} = (F_1, \dots, F_n)$  be an  $n$ -tuple of continuous maps from  $\Pi_0$  into  $X$ . We say that  $\mathbf{F}$  is jointly  $*$ -numerically bounded if

$$\omega_*(\mathbf{F}) = \limsup_{r \rightarrow \infty} \sup_{\Pi_r} \frac{|\langle \mathbf{F}(x, x^*), x^* \rangle|}{\|x\| \|x^*\|} < +\infty.$$

We denote by  $\mathbf{W}_*^n(X)$ , the vector space of all jointly  $*$ -numerically bounded  $n$ -tuples.

Notice that  $w_*$  is a seminorm on  $\mathbf{W}_*^n(X)$ . If  $\mathbf{F} \in \mathbf{W}_*^n(X)$ , then we let

$$\alpha_*(\mathbf{F}) = \liminf_{r \rightarrow \infty} \inf_{\Pi_r} \frac{|\langle \mathbf{F}(x, x^*), x^* \rangle|}{\|x\| \|x^*\|}.$$

Obviously one has  $\mathbf{Q}_*^n(X) \subseteq \mathbf{W}_*^n(X)$  and  $w_*(\mathbf{F}) \leq |\mathbf{F}|_*$ .

DEFINITION 2.11. Let  $\mathbf{F} = (F_1, \dots, F_n) \in \mathbf{W}_*^n(X)$  and for  $j = 1, \dots, n$ , consider the maps

$$F_j^\nu : \Pi_0 \rightarrow X \quad \text{and} \quad F_j^r : \Pi_0 \rightarrow X$$

given by

$$F_j^\nu(x, x^*) = \frac{\langle F_j(x, x^*), x^* \rangle}{\|x\| \|x^*\|} x$$

and

$$F_j^r(x, x^*) = F_j(x, x^*) - F_j^\nu(x, x^*).$$

Then  $\mathbf{F} = \mathbf{F}^\nu + \mathbf{F}^r$  (i.e.,  $F_j = F_j^\nu + F_j^r$  for  $j = 1, \dots, n$ .) The  $n$ -tuples  $\mathbf{F}^\nu = (F_1^\nu, \dots, F_n^\nu)$  and  $\mathbf{F}^r = (F_1^r, \dots, F_n^r)$  are called the jointly normal and jointly tangent components of  $\mathbf{F}$  respectively.

The following Lemma follows immediately from the definitions.

LEMMA 2.12. Let  $\mathbf{F} = (F_1, \dots, F_n) \in \mathbf{W}_*^n(X)$ . Then

(a)  $\langle \mathbf{F}^\nu(x, x^*), x^* \rangle = \langle \mathbf{F}(x, x^*), x^* \rangle$ ,  $(x, x^*) \in \Pi_0$ .

(b)  $\langle \mathbf{F}^r(x, x^*), x^* \rangle = 0$ ,  $(x, x^*) \in \Pi_0$ .

(c)  $\mathbf{F}^\nu \in \mathbf{Q}_*^n(X)$  and  $|\mathbf{F}^\nu|_* = \omega_*(\mathbf{F})$ .

The following result is also obvious.

**THEOREM 2.13.** Let  $\mathbf{F} = (F_1, \dots, F_n)$  be an  $n$ -tuple of continuous maps from  $\Pi_0$  into  $X$ . Then  $\mathbf{F} \in \mathbf{W}_*^n(X)$  if and only if there exists  $n$ -tuples  $\mathbf{G}, \mathbf{H}$  of continuous maps from  $\Pi_0$  into  $X$  with  $\mathbf{G} \in \mathbf{Q}_*^n(X)$  and  $\mathbf{H}$  satisfying  $\langle \mathbf{H}(x, x^*), x^* \rangle = 0$  ( $(x, x^*) \in \Pi_0$ ), such that  $\mathbf{F} = \mathbf{G} + \mathbf{H}$ . Such an  $n$ -tuple  $\mathbf{H}$  is said to be a jointly  $*$ -orthogonal  $n$ -tuple.

**DEFINITION 2.14.** (a) Let  $\mathbf{F}, \mathbf{G} \in \mathbf{W}_*^n(X)$ . The  $n$ -tuple  $\mathbf{F}$  is said to be jointly  $*$ -asymptotically numerically equivalent (i.e.j.  $*$ -a.n.e) to  $\mathbf{G}$  if  $\omega_*(\mathbf{F} - \mathbf{G}) = 0$ .

It is easy to see that there is an equivalence relation.

(b)  $\widehat{\mathbf{W}}_*^n(X)$  is the normed space of all equivalence classes of jointly  $*$ -numerically bounded  $n$ -tuples, i.e.,  $\widehat{\mathbf{W}}_*^n(X) = \mathbf{W}_*^n(X)/\mathbf{N}^n(\omega_*)$ , where  $\mathbf{F} \in \mathbf{N}^n(\omega_*)$  iff  $\omega_*(\mathbf{F}) = 0$ . The norm on  $\widehat{\mathbf{W}}_*^n(X)$  is the one induced by  $\omega_*$ , and it will be denoted in the same way.

Now let  $\sim: \mathbf{Q}_*^n(X) \rightarrow \widetilde{\mathbf{Q}}_*^n(X)$  and  $\wedge: \mathbf{W}_*^n(X) \rightarrow \widehat{\mathbf{W}}_*^n(X)$  be natural linear projections. Then we have the following commutative diagram of continuous linear maps

$$\begin{array}{ccc} \mathbf{W}_*^n(X) & \xrightarrow{\wedge} & \widehat{\mathbf{W}}_*^n(X) \\ \uparrow j & \nearrow q & \uparrow r \\ \mathbf{Q}_*^n(X) & \xrightarrow{\sim} & \widetilde{\mathbf{Q}}_*^n(X) \end{array}$$

where  $j$  is the inclusion map of  $\mathbf{Q}_*^n(X)$  into  $\mathbf{W}_*^n(X)$ ,  $q(\mathbf{F}) = \widehat{\mathbf{F}}$  and  $r(\widetilde{\mathbf{F}}) = \widehat{\mathbf{F}}$ .

Note that the map  $r$  is well-defined, because if  $\mathbf{F}, \mathbf{G} \in \mathbf{Q}_*^n(X)$  are such that  $\widetilde{\mathbf{F}} = \widetilde{\mathbf{G}}$ , then  $\omega_*(\mathbf{F} - \mathbf{G}) \leq |\mathbf{F} - \mathbf{G}|_* = 0$ , and hence  $\widehat{\mathbf{F}} = \widehat{\mathbf{G}}$ .

**THEOREM 2.15.**  $\widehat{\mathbf{W}}_*^n(X)$  is a Banach space.

*Proof.* Let  $\{\widehat{\mathbf{F}}_m\}$  be a sequence in  $\widehat{\mathbf{W}}_*^n(X)$  such that  $\sum \omega_*(\widehat{\mathbf{F}}_m) < \infty$ . We have to show that  $\sum \widehat{\mathbf{F}}_m = (\sum \widetilde{\mathbf{F}}_m^{(1)}, \dots, \widetilde{\mathbf{F}}_m^{(n)})$  converges.

Since  $\omega_*(\widehat{\mathbf{F}}) = \omega_*(\mathbf{F}) = |\mathbf{F}^\nu|_* = |\widetilde{\mathbf{F}}^\nu|_*$  ( $\mathbf{F} \in \mathbf{W}_*^n(X)$ ), where  $\mathbf{F}^\nu \in \mathbf{Q}_*^n(X)$  (Lemma 2.12) is the jointly normal component of  $\mathbf{F}$ , we have

$$\sum |\widetilde{\mathbf{F}}_m^\nu|_* = \sum \omega_*(\widehat{\mathbf{F}}_m) < \infty. \quad (1)$$

But  $\{\tilde{\mathbf{F}}_m^\nu\}$  is a sequence in the Banach space  $\tilde{\mathbf{Q}}_*^n(X)$ , and it follows from (1) and Theorem 2.9 that the series  $\sum \tilde{\mathbf{F}}_m^\nu$  converges to an element  $\tilde{\mathbf{F}} \in \tilde{\mathbf{Q}}_*^n(X)$ . Since the mapping  $r : \tilde{\mathbf{Q}}_*^n(X) \rightarrow \widehat{\mathbf{W}}_*^n(X)$  is linear and continuous, we must have

$$\sum \hat{\mathbf{F}}_m^\nu = \sum r(\tilde{\mathbf{F}}_m^\nu) = r(\tilde{\mathbf{F}}) = \hat{\mathbf{F}}. \quad (2)$$

But  $\hat{\mathbf{F}} = \hat{\mathbf{F}}^\nu$  for  $\mathbf{F} \in \mathbf{W}_*^n(X)$ . Hence from (2) we obtain  $\sum \hat{\mathbf{F}}_m = \hat{\mathbf{F}}$ .

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Department of Mathematics  
 College of Natural Sciences  
 Cheju National University  
 Cheju do, 690-756,  
 KOREA.